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# Sample Size Calculation Guide - Part 5: How to calculate the sample size for a superiority clinical trial

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#### **INTRODUCTION**

In the previous educational articles, we explained how to calculate the sample size for a rate or a single proportion, for an independent cohort study, for an independent case-control study, and for a diagnostic test accuracy study (1-4). In this article, we explain how to calculate the sample size for a superiority clinical trial.

# WHEN TO USE THE SAMPLE SIZE CALCULATION PROCEDURE OF SUPERIORITY CLINICAL TRIAL

The methods explained hereafter should be used in the case that the superiority clinical trial is comparing two interventions with the endpoint being continuous data expressed as the mean difference.

## **REQUIREMENTS**

- 1) Expected effect size (ES)
- 2) Type of clinical trial: Cross over or parallel
- 3) Allocation ratio between the experimental and control groups
- 4) Statistical power
- 5) Alpha

The expected ES differs according to the type of outcome measure. In case of continuous measures, the required ES will be the expected mean difference between the two arms and the standard deviation (SD) of the mean difference. In the case of binary outcomes (events as death or remission), the required ES will be the expected rate of event in each group.

## **CALCULATION STEPS**

- 1) Open SampSize application on your mobile
- 2) Select superiority from the type of trial (superiority, non-inferiority, or equivalence)
- 3) Select parallel for the design of the study

(parallel or cross over)

- 4) Select normal for the type of outcome data (normal or binary)
- 5) Put the data into space and click "calculate."

## CASE STUDY OF SUBTHALAMIC VERSUS PALLIDAL DEEP BRAIN STIMULATION FOR PATIENTS WITH PARKINSON'S DISEASE

Assume that we are conducting a randomized controlled trial to compare the subthalamic (STN) and pallidal (GPi) deep brain stimulation (DBS) for patients with Parkinson's disease. The primary outcome measure of this study is the improvement in motor function measured by the unified Parkinson's disease rating scale (UPDRS-III). The most recent report comparing the two targets was published by Odekerken et al. (5) where the STN DBS and GPi DBS resulted in 20.3 and 11.4 point-improvements on the UPDRS-III, respectively. The SD of the motor functions (UPDRS-III) of two groups at baseline were 13.5 and 15.5, respectively.

#### **CASE SOLUTION**

First, we determine the requirements

- Expected mean difference between the two arms: 20.3 11.4 = 8.9
- SD =  $\sqrt{SD.experimental^2 + SD.control^2} = 20$
- Type of clinical trial: parallel
- Allocation ratio between the experimental and control groups: 1
- Statistical power: 90%
- Alpha: 5%

Second, we run the calculations as shown in figure 1. The results show that a minimum sample size of 216 patients (n=108 per group) will be required for this randomized controlled trial.

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SampSize	
Procedure	Results
Superiority 👻	<b>Power</b> 0.90
Design	
Parallel	Significance Level
Endpoint	
Normal	One Or Two Sided Significance
Calculation	
Sample size 👻	Mean Difference 8.900 Population Standard Deviation 20.000
Submit	
SampSize	
Power	
0.9	Allocation Ratio
Significance Level	
0.05	Sample Size Group 1 108
One Or Two Sides Significance	
2	Sample Size Group 2 108
- Mean Difference	
8.9	Total Sample Size
Population Standard Deviation 20	References
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Allocation Ratio	
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